

PATENT Customer No. 22,852 Attorney Docket No. 08049.0001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Leo J. CAMPBELL, et al.	) Group Art Unit: 2132
Application No.: 09/675,677	) Examiner: Unknown
Filed: September 29, 2000	) )
For: SYSTEM AND METHODS FOR AUTHENTICATING AN ELECTRONIC MESSAGE	RECEIVED  AUG 0 9 2004
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Technology Center 2100

Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law,

Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: August 5, 2004

Bv:

William J. Brogan Reg. No. 43,515 INFORMATION DISCLOSURE CITATION

	INFORMATIC	ON DISCLOSURI	ECHAHON	OIPE
Atty. Docket No.	08049.0001	Serial No.	09/675,677	4
Applicant	Leo J. CAMPBELL et al.			AUG 0 5 2004
Filing Date	September 29, 2000	Group:	2132	
				RAOFMARK S

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	RE 34,954	05/1995	Haber et al.			
•	4,309,569	01/1982	Merckle			
	5,136,646	08/1992	Haber et al.		REC	EIVED
	5,136,647	08/1992	Haber et al.			0 9 2004
	5,373,561	12/1994	Haber et al.			
	5,638,446	06/1997	Rubin		rechnolog	y Center 2100
	5,781,629	07/1998	Haber et al.			
	5,943,656	08/1999	Crooks et al.			
	6,035,402	03/2000	Vaeth et al.			
	6,233,565	05/2001	Lewis et al.			
	6,285,991	09/2001	Powar			
· ·	6,289,323	09/2001	Gordon et al.			

	FOREIGN PATENT DOCUMENTS					
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	WO 97/12460	04/1997	PCT			Yes
, ·	WO 00/13368	03/2000	PCT			Yes
	0 516 898 A1	12/1992	EPO			Yes
	WO 01/18718 A1	03/2001	WIPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
	International Search Report, PCT/US 00/27068, 04/05/2001 (4 pages).			
	"CONNECT announces OneServer interactive online application platform," Business Wire, April 10, 1995, page 4101043, retrieved from DIALOG, DIALOG No.: 07797909 (2 pages).			
	International Preliminary Examination Report in PCT/US01/08487, mailed January 23, 2002 (4 pages).			
	International Preliminary Examination Report in PCT/US01/08488, mailed June 11, 2003 (11 pages).			
	International Search Report in PCT/US 01/08490, mailed January 22, 2002 (6 pages).			

OMB No. 0651-0011

Atty. Docket No.	08049.0001	Serial No.	09/675,677	0 5 2006
Applicant	Leo J. CAMPBELL et al.			BECEIVED
Filing Date	September 29, 2000	Group:	2132	AUG 0 9 2004

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc. Jechnology Center			
	"Joint Direct Bill Payment Form for Mich. Utilities," Bank Technology News, April 1994, page 8, retrieved from DIALOG, DIALOG No.: 03302326 (1 page).			
	Newkirk, K.M.,, "Banks can slice transaction costs," Independent Banker, December 1995, vol. 45, no. 12, pages 52-53, retrieved fro DIALOG, DIALOG No.: 00276769 (3 pages).			
	"Recommendation F .400/X.400 message handling and directory services – operation and definition of service," November 14, 1988, CCITT, MELBOURNE, XP002186347 (10 pages).			
	U.S. Patent Application Publication No. US 2001/0032181 A1, dated October 18, 2001.			
	U.S. Patent Application Publication No. US 2002/0049672 A1, dated April 25, 2002.			
	Campbell et al., "Methods and Systems for Proofing Identities Using a Certificate Authority," Pending U.S. Patent Application No. 09/809,325, filed March 16, 2001.			
	Orbke et al., "Methods and Systems for Establishing an Electronic Account for a Customer," Pending U.S. Patent Application No. 09/809,328, filed March 16, 2001.			
· · · · · · · · · · · · · · · · · · ·	Cook et al., "Methods and Systems for Providing a Secure Electronic Mailbox," Pending U.S. Patent Application No. 09/809,312, filed March 16, 2001.			
	Campbell et al., "Methods and Systems for Providing an Electronic Account to a Customer," Pending U.S. Patent Application No. 09/809,581, filed March 16, 2001.			
	Cook et al., "Methods and Systems for Linking an Electronic Address to a Physical Address of a Customer," Pending U.S. Patent Application No. 09/809,326, filed March 16, 2001.			

Examiner	niner Date Considered	
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 14	149	Patent and Trademark Office - U.S. Department of Commerce